

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 26, 2003

Signature: [Signature]
(Jonathan A. David)



Docket No.: SONYSU 3.3-043
(PATENT)

#9
8D.
7-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Koga et al.

Application No.: 09/341,324

Group Art Unit: 2681

Filed: July 9, 1999

Examiner: Contee, Joy Kimberly

For: TRANSMITTING APPARATUS AND
METHOD, INFORMATION EDITING
APPARATUS AND METHOD, RECEIVING
APPARATUS AND METHOD,
INFORMATION STORING APPARATUS
AND METHOD, AND BROADCASTING
SYSTEM

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COMMUNICATION

Dear Sir:

In the Office Action mailed on March 31, 2003, the Examiner had noted that applicants' information disclosure statement filed on December 17, 2002 failed to comply with 37 C.F.R. § 1.198(a)(1), which requires a separate listing of the submitted references. Accordingly, applicants submit herewith a separate listing of the prior art references, *i.e.*, Japanese Application No. 08-265726 (with front page translation) and U.S. Patent No. 5,661,787 to *Pocock*, which references were previously submitted with the December 17, 2002 information disclosure statement along with a copy of the Chinese Official Action (and translation) received in applicants' corresponding Chinese patent application citing such references. Applicants respectfully request that the Examiner consider these references and include a copy of the initialed PTO-1449 form with the next communication to applicants.

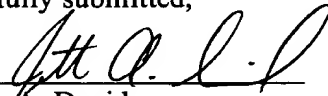
Application No.: 09/341,324

Docket No.: SONYSU 3.3-043

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: June 26, 2003

Respectfully submitted,

By 
Jonathan A. David
Registration No.: 36,494
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP
600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000
Attorney for Applicant

LD-458\

438474_1



Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete If Known	
				Application Number	09/341,324
				Filing Date	July 9, 1999
				First Named Inventor	Tadaharu Koga
				Art Unit	2681
				Examiner Name	Contee, Joy Kimberly
Sheet	1	of	1	Attorney Docket Number	SONYSU 3.3-043

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US 5,661,787	08-26-1997	Pocock	Front page translation included

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP 8265726	11-10-1996	Hisashi, et al.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

435193_1

RECEIVED
JUL 02 2003
Technology Center 2600

Examiner Signature		Date Considered	6/8/04
-----------------------	--	--------------------	--------